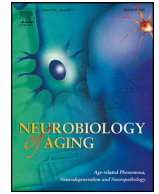




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## Cognitive reserve proxies, Alzheimer pathologies, and cognition

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## ABSTRACT

This study aimed to explore the moderating effects of the frequently used cognitive reserve (CR) proxies [i.e., education, premorbid intelligence quotient (pIQ), occupational complexity (OC), and lifetime cognitive activity (LCA)] on the relationships between various in vivo Alzheimer's disease (AD) pathologies and cognition. In total, 351 [268 cognitively unimpaired (CU), 83 cognitively impaired (CI)] older adults underwent multi-modal brain imaging to measure AD pathologies and cognitive assessments, and information on CR proxies was obtained. For overall participants, only education moderated the relationship between A $\beta$  deposition and cognition. Education, pIQ, and LCA, but not OC, showed moderating effect on the relationship between AD-signature cerebral hypometabolism and cognition. In contrast, only OC had a moderating effect on the relationship between cortical atrophy of the AD-signature regions and cognition. Such moderation effects of the CR proxies were similarly observed in CI individuals, but most of them were not in CU individuals. The findings suggest that the proposed CR proxies have different moderating effects on the relationships between specific AD pathologies and cognition.

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## 1. Introduction

The concept of cognitive reserve (CR) has been introduced to explain how some people can tolerate more brain pathologies

pound B; pIQ, Premorbid intelligence quotient; ROI, Regions of interest; SUVR, Standardized uptake value ratio.

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**Abbreviations:** A $\beta$ , Amyloid-beta; AD, Alzheimer's disease; AD-CM, AD-signature region cerebral glucose metabolism; AD-CT, AD-signature region cortical thickness; ADD+, AD dementia with A $\beta$  deposition; APOE4, Apolipoprotein  $\epsilon$ 4; CDR, Clinical Dementia Rating; CERAD-TS, Consortium to Establish a Registry for Alzheimer's Disease-total score; CI, Cognitively impaired; CR, Cognitive Reserve; CSF, Cerebrospinal fluid; CU, Cognitively unimpaired (CU-, CU without A $\beta$  deposition; CU+, CU with A $\beta$  deposition); DSM-IV, Diagnostic and Statistical Manual of Mental Disorders IV; FDG, <sup>18</sup>F-fluoro-2-deoxyglucose; KBASE, Korean Brain Aging Study for the Early Diagnosis and Prediction of AD; LCA, Lifetime cognitive activity; MCI, Mild cognitive impairment (MCI+, MCI with A $\beta$  deposition); MRI, Magnetic resonance imaging; NIA-AA, National Institute on Aging and Alzheimer's Association; OC, Occupational complexity; PET, Positron emission tomography; PiB, <sup>11</sup>C-Pittsburg com-

or age-related brain changes than others (Stern, 2002). It is defined as the capacity to preserve cognitive function better in the presence of brain pathology and it acts as a moderator between brain changes and the degree of cognitive impairment (Stern, 2012, 2002; Stern et al., 2018). Various measures have been proposed as proxies of CR, including education, the premorbid intelligence quotient (pIQ), occupational complexity (OC), and lifetime cognitive activity (LCA), and have been used to estimate the CR of an individual indirectly (Arenaza-Urquijo et al., 2015; Jones et al., 2011).

Among CR proxies, education was reported to moderate the relationships of  $A\beta$  senile plaques (Bennett et al., 2005, 2003), cerebrospinal fluid (CSF) amyloid-beta ( $A\beta$ ) (Yaffe et al., 2011), cerebral  $A\beta$ -positive status on positron emission tomography (PET) (Roe et al., 2008), or medial temporal atrophy on Magnetic Resonance Imaging (MRI) (Perneczky et al., 2009) with cognitive function, but not that of neurofibrillary tangles (Bennett et al., 2005, 2003) and Alzheimer's disease (AD) pathology composite (Bauer et al., 2020). 2 studies showed that pIQ, estimated using an adult reading test, interacted with inferior temporal tau deposition (Rentz et al., 2017) and precuneus  $A\beta$  deposition on PET (Rentz et al., 2010) to predict cognitive function, while another study suggested that pIQ does not moderate the relationship of various AD biomarkers with cognition, including CSF  $A\beta$ , t-tau, and structural abnormalities on MRI (Vemuri et al., 2011). A study showed that composite measure of education and occupation was associated with baseline cognitive performance but did not moderate  $A\beta$ -related cognitive decline in cognitively normal older adults (Vemuri et al., 2015). However, no study has yet investigated the independent moderating effects of OC and LCA on the relationship between AD pathologies and cognition.

Therefore, we explored the moderating effects of education, pIQ, OC, and LCA on the relationships between various in vivo AD pathologies and global cognition to compare their appropriateness as CR proxies for specific pathologies. We used 3 AD neuroimaging biomarkers to measure different in vivo AD pathologies: cerebral  $A\beta$  deposition on  $^{11}\text{C}$ -Pittsburg compound B (PiB)-PET, AD-signature region cerebral glucose metabolism (AD-CM) on  $^{18}\text{F}$ -fluoro-2-deoxyglucose (FDG)-PET, and AD-signature region cortical thickness (AD-CT) on structural brain MRI (Jack et al., 2018, 2016).

## 2. Methods

### 2.1. Participants

This study is part of the Korean Brain Aging Study for the Early Diagnosis and Prediction of AD (KBASE), an ongoing prospective cohort study designed to identify novel AD biomarkers and to investigate various lifetime experiences contributing to AD-related brain changes. Details on the KBASE study have been described previously (Byun et al., 2017). The current study included 351 middle-aged and older individuals with age between 55 and 90 years. The participants consisted of 232 cognitively unimpaired (CU) with no  $A\beta$  deposition on PiB-PET (CU-), 36 CU with  $A\beta$  deposition (CU+), 44 mild cognitive impairment (MCI) with  $A\beta$  deposition (MCI+), 39 AD dementia with  $A\beta$  deposition (ADD+). CU individuals had no diagnosis of mild cognitive impairment or dementia, and a global Clinical Dementia Rating (CDR) score of 0 (Lee et al., 2002; Morris, 1993). All individuals with MCI met the current consensus criteria for amnesic MCI, which are as follows: (1) memory complaints confirmed by an informant, (2) objective memory impairments, (3) preserved global cognitive function, (4) independence in functional activities, and (5) no dementia. Regarding criterion 2, the age-, education-, and sex adjusted z-scores for at least 1 of 4 episodic memory tests was  $<-1.5$ . The

4 memory tests were the Word List Memory, Word List Recall, Word List Recognition, and Constructional Recall tests, which are included in the Korean version of the Consortium to Establish a Registry for Alzheimer's Disease (CERAD) neuropsychological assessment battery (Lee et al., 2004, 2002). All individuals with MCI had a CDR score of 0.5. All individuals with AD dementia meet both the Diagnostic and Statistical Manual of Mental Disorders IV (DSM-IV) criteria (American Psychiatric Association, 2000) for dementia and National Institute on Aging and Alzheimer's Association (NIA-AA) criteria (McKhann et al., 2011) for probable AD dementia, and had a global CDR score of 0.5 or 1. Individuals with medical, psychiatric, or neurological conditions or a history of conditions that may affect brain structures or functions, such as stroke, head trauma, depression, hydrocephalus, or focal brain lesions on MRI were excluded. Each participant was diagnosed at a consensus conference of board-certified psychiatrists and neuropsychologists before inclusion in the study. The study protocol was approved by the Institutional Review Board of Seoul National University Hospital and Seoul National University-Seoul Metropolitan Government Boramae Center (Seoul, South Korea) and conducted in accordance with the recommendations of the current version of the Declaration of Helsinki. All participants or their caregivers gave informed consent to participate in this study.

### 2.2. Clinical and neuropsychological assessments

All participant underwent comprehensive clinical and neuropsychological assessments following the KBASE assessment protocol (Byun et al., 2017), which incorporated the Korean version of the CERAD assessment packet (Lee et al., 2004, 2002). CERAD-total score (CERAD-TS) (Chandler et al., 2005; Seo et al., 2010), which is calculated by summing the scores of 6 tests included in the CERAD neuropsychological battery (i.e., Semantic Verbal Fluency Tasks, 15-item Boston Naming Test, Word List Memory, Word List Recall, Word List Recognition, and Constructional Praxis), was used as an established composite measure of global cognition. CERAD-TS has a maximum of 100 points.

### 2.3. CR proxies

The years of formal education, pIQ, OC, and LCA participation frequency were included as candidate CR proxies. Years of formal education was self-reported by the participants and confirmed by reliable informants. Formal education was defined as "education which takes place in education and training institutions, leading to recognized diplomas or qualifications" (Commission of the European Communities, 2000). pIQ was estimated by the performance on the Korean Adult Reading Test (Yi et al., 2017), a word-reading test for Korean-speaking adults using 50 irregularly pronounced words that is useful for estimating premorbid intelligence. The Korean Adult Reading Test-predicted Full-Scale IQ, derived from the Korean Adult Reading Test error score, was used as the pIQ of an individual. Information about occupation was self-reported by the participant and confirmed by reliable informants. Only the longest-held occupation was considered. We then classified OC into 4 levels based on the skill levels described in the International Standard Classification of Occupations (ISCO-08) (Darwish et al., 2018; International Labor Office (ILO), 2012). Occupations at skill level 1 typically involve the simple and routine physical or manual tasks (e.g., office cleaners, freight handlers or garden laborers). Occupations at skill level 2 typically involve the performance of tasks, such as operating machinery and electronic equipment; driving vehicles; maintenance and repair of electrical and mechanical equipment; and manipulation, ordering and storage of information (e.g., butchers, bus drivers or secretaries). Occupations classified at

skill level 3 typically involve the performance of complex technical and practical tasks that require complex problem solving, reasoning, and decision making in a specialized field (e.g., shop managers, medical laboratory technicians or diagnostic medical radiographers). Occupations classified at skill level 4 typically involve the performance of tasks that require complex problem-solving, decision-making and creativity based on an extensive body of theoretical and factual knowledge in a specialized field (e.g., sales and marketing managers, civil engineers or secondary school teachers). The LCA participation frequency was measured with a 39-item structured questionnaire (Wilson et al., 2007). The included items were relatively common activities with few barriers to participation, such as reading newspapers, magazines, or books; visiting a museum or library; attending a concert, play, or musical; writing letters; and playing games. The frequency of participation was rated from 1 (once a year or less) to 5 (approximately daily). There were nine items for current, 11 items for childhood (6–12 years of age), 10 items for young adulthood (18 years of age), and nine items for midlife (40 years of age) cognitive activities. Item scores for childhood, young adulthood, and midlife periods were averaged to yield a separate value for the corresponding age period. We then calculated the composite LCA score to use in the subsequent analysis, which was an average of the 3 epoch values. The items for current activities were not included to calculate LCA score to avoid the influence of current cognitive impairment state on LCA score.

#### 2.4. Measurement of cerebral A $\beta$ deposition

All participants underwent simultaneous 3-dimensional [<sup>11</sup>C]-PiB-PET and T1-weighted MRI using a 3.0 T Biograph mMR scanner (Siemens; Washington DC, WC, USA), according to the manufacturer's guidelines. After intravenous administration of 555 MBq of [<sup>11</sup>C] PiB (range, 450–610 MBq), a 30-minute emission scan was obtained 40 minutes after injection. The PiB-PET data collected in list mode were processed for routine corrections such as uniformity, UTE-based attenuation, and decay corrections, and were reconstructed into a 256 × 256 image matrix using iterative methods (6 iterations with 21 subsets). The details of PiB-PET acquisition and preprocessing were described previously (Park et al., 2019). An automatic anatomic labeling algorithm and a region-combining method (Reiman et al., 2009) were applied to determine the regions of interest (ROIs) for characterizing the PiB retention levels in the frontal, lateral parietal, posterior cingulate–precuneus, and lateral temporal regions. The standardized uptake value ratio (SUVR) value for each ROI was calculated by dividing the mean value for all voxels within each ROI by the mean cerebellar grey matter uptake value for the same image. A global cortical ROI consisting of the 4 ROIs was also defined and a global A $\beta$  retention value was generated by dividing the mean value for all voxels of the global cortical ROI by the mean cerebellar uptake value in the same image (Choe et al., 2014; Reiman et al., 2009). Each participant was classified as A $\beta$ -positive if the SUVR value was > 1.4 in at least 1 of 4 ROIs or as A $\beta$ -negative if the SUVR values of all 4 ROIs was ≤ 1.4 (Jack et al., 2014; Reiman et al., 2009).

#### 2.5. Measurement of AD-CM

All participants underwent [<sup>18</sup>F]-FDG-PET imaging using the machine described above. The participants fasted for at least 6 hours and rested in a waiting room for 40 minutes prior to the scans after intravenous administration of 0.1 mCi/Kg of [<sup>18</sup>F] FDG radioligands. The PET data collected in list mode (5 minutes × 4 frames) were processed for routine corrections such as uniformity, UTE-based attenuation, and decay corrections. The details of

FDG-PET acquisition and preprocessing were described previously (Park et al., 2019). AD-signature FDG ROIs, such as the angular gyri, posterior cingulate cortex, and inferior temporal gyri, which are sensitive to the changes associated with AD (Jack et al., 2014), were determined. AD-CM was defined as the voxel-weighted mean SUVR extracted from the AD-signature FDG ROIs.

#### 2.6. Measurement of AD-CT

All T1-weighted MR images were acquired in the sagittal orientation using the same PET-MR machine. The parameters were as follows: repetition time = 1670 ms, echo time = 1.89 ms, field of view 250 mm, and 256 × 256 matrix with 1.0 mm slice thickness. MRI acquisition and preprocessing were described previously (Park et al., 2019). AD-CT was defined as the mean cortical thickness obtained from AD-signature regions, including the inferior frontal sulcus, superior frontal gyrus, temporal pole, inferior temporal gyrus, medial temporal cortex, precuneus, superior parietal lobule, angular gyrus, and supramarginal gyrus, based on Desikan-Killany atlas (Desikan et al., 2006; Dickerson et al., 2009).

#### 2.7. Statistical analysis

To analyze the associations of CR proxies each other, Kendall's tau correlation was conducted due to non-normal distribution of CR proxies. To analyze the moderating effects of the CR proxies on the relationships between in vivo AD pathologies and cognition, we performed multiple linear regression models with CERAD-TS as the dependent variable, each CR proxy, each AD neuroimaging biomarker, and CR proxy × AD neuroimaging biomarker interaction term as independent variables controlling for age, sex, and apolipoprotein  $\epsilon$ 4 (APOE4) carrier status. Additional subgroup analyses were conducted for each of CU and cognitively impaired (CI: MCI plus AD dementia) group using the same models, considering that the effect of CR measured by proxy variable may differently observed by cognitive status (Pettigrew and Soldan, 2019; Soldan et al., 2017; Stern, 2012). All analyses were done with SPSS 23.0 (IBM Corporation, New York) and results were examined at  $p < 0.05$ .

### 3. Results

#### 3.1. Participant characteristics

The characteristics of the participants are presented in Table 1. CU- subsamples were younger than CU+ and MCI+ subsamples, as well as less depressed compared to MCI+ or ADD+ subsamples.

For the group difference of CR proxies by clinical diagnosis and biomarker classification, AD subsamples showed lower pIQ compared to CU- and CU+. Other proxy measures did not show any group differences. Group differences were also observed in CERAD-TS, APOE  $\epsilon$ 4 and in vivo AD biomarkers by clinical diagnosis and biomarker classification as expected.

#### 3.2. Correlations between CR proxies

All CR proxies showed significant positive correlations with each other (Table S1). Correlation between education and pIQ was the strongest (Kendall's tau = 0.67,  $p < .01$ ) and correlation between OC and LCA was the weakest (Kendall's tau = 0.26,  $p < .01$ ).

#### 3.3. Moderating effects of CR proxies on the relationship between A $\beta$ deposition and cognition

Of the four CR proxies, only education had a significant moderating effect on the relationship between global A $\beta$  deposition

**Table 1**  
Participant characteristics

	Allparticipants (n = 351)	CU-(n = 232)	CU+(n = 36)	MCI+(n = 44)	ADD+(n = 39)	p <sup>a</sup>
Age, y	69.60 (7.84)	68.09 (7.88)	74.00 (6.77)	72.39 (6.55)	71.38 (7.33)	<0.001
Female (%)	195 (55.56)	124 (53.45)	16 (44.44)	31 (70.45)	24 (61.54)	0.081
APOE $\epsilon$ 4 carriers <sup>b</sup> (%)	105 (29.91)	37 (15.95)	11 (30.56)	29 (65.90)	28 (71.79)	<0.001
GDS, score	5.53 (5.14)	4.60 (4.96)	6.53 (5.31)	8.00 (5.32)	7.33 (4.37)	<0.001
CR proxies						
Education, years	11.36 (4.75)	11.60 (4.79)	12.17 (4.42)	10.52 (4.46)	10.13 (4.90)	0.13
pIQ, score	114.59 (11.05)	115.87 (9.87)	117.13 (9.04)	112.01 (11.44)	107.54 (15.32)	<0.001
OC, score	2.35 (1.39)	2.44 (1.35)	2.61 (1.36)	1.86 (1.52)	2.15 (1.41)	0.040 <sup>c</sup>
LCA, score	2.27 (0.69)	2.33 (0.70)	2.26 (0.57)	2.08 (0.66)	2.13 (0.70)	0.092
Cognitive measures						
CERAD-TS, score	66.62 (15.50)	73.20 (9.94)	72.03 (9.51)	50.50 (10.30)	40.64 (11.13)	<0.001
in vivo AD biomarkers						
Global A $\beta$ , SUVR	1.38 (0.45)	1.11 (0.06)	1.67 (0.35)	1.96 (0.32)	2.07 (0.41)	<0.001
AD-CM, SUVR	1.38 (0.14)	1.42 (0.12)	1.39 (0.12)	1.27 (0.15)	1.20 (0.13)	<0.001
AD-CT, mm	2.50 (0.20)	2.58 (0.14)	2.49 (0.15)	2.36 (0.20)	2.22 (0.19)	<0.001

Key: A $\beta$ , amyloid-beta; CU-, A $\beta$ -negative cognitively unimpaired; CU+, A $\beta$ -positive cognitively unimpaired; MCI+, A $\beta$ -positive mild cognitive impairment; ADD+, A $\beta$ -positive Alzheimer's disease dementia; APOE  $\epsilon$ 4, apolipoprotein E  $\epsilon$ 4; GDS, Geriatric Depression Scale; CR, cognitive reserve; pIQ, premorbid intelligence quotient; OC, occupational complexity; LCA, lifetime cognitive activity; CERAD, Consortium to Establish a Registry for Alzheimer's Disease; CERAD-TS, Total Score of CERAD neuropsychological assessment battery; SUVR, standardized uptake value ratio; AD-CM, AD-signature region cerebral glucose metabolism; AD-CT, AD-signature region cortical thickness.

Note: Data are presented as mean (SD) unless otherwise indicated.

<sup>a</sup> Estimated by 1-way analysis of variance (continuous variable) and chi-square test (categorical variable).

<sup>b</sup> APOE  $\epsilon$ 4 carriers are the percentage of individuals with at least one APOE4 allele.

<sup>c</sup> Post-hoc test (Bonferroni) of 1-way analysis of variance did not show any significant group differences.

**Table 2**  
Moderating effect of each CR proxy on the relationship between global A $\beta$  and cognition

Model term	B	SE	$\beta$	p
<b>Model 1</b>				
Education	1.14	0.13	0.35	<.001 <sup>b</sup>
Global A $\beta$	-17.94	1.44	-0.52	<.001 <sup>b</sup>
Education $\times$ global A $\beta$	0.80	0.28	0.11	.004 <sup>a</sup>
<b>Model 2</b>				
pIQ	0.55	0.06	0.39	<.001 <sup>b</sup>
Global A $\beta$	-16.14	1.41	-0.46	<.001 <sup>b</sup>
pIQ $\times$ global A $\beta$	0.07	0.10	0.03	.45
<b>Model 3</b>				
OC	2.12	0.49	0.19	<.001 <sup>b</sup>
Global A $\beta$	-17.55	1.55	-0.50	<.001 <sup>b</sup>
OC $\times$ global A $\beta$	1.83	0.97	0.07	.061
<b>Model 4</b>				
LCA	5.85	0.89	0.26	<.001 <sup>b</sup>
Global A $\beta$	-17.01	1.52	-0.49	<.001 <sup>b</sup>
LCA $\times$ global A $\beta$	2.38	2.01	0.05	.24

Note: Age, sex, and APOE4 carrier status were treated as covariates. B: unstandardized coefficient,  $\beta$ : standardized coefficient.

Key: CR, cognitive reserve; A $\beta$ , amyloid-beta; pIQ, premorbid Intelligence Quotient; OC, occupational complexity; LCA, lifetime cognitive activity; SE, standard error; APOE4, apolipoprotein E  $\epsilon$ 4.

<sup>a</sup>  $p < 0.01$ .

<sup>b</sup>  $p < 0.001$ .

and CERAD-TS (Table 2). Individuals with higher education showed shallower slope of decline in CERAD-TS when A $\beta$  deposition increased compared to those with lower education. The result remained unchanged when we re-examined the model using the education as groups stratified into tertiles [ $\geq 13$  years, 10~12 years, and  $< 10$  years] instead of a continuous value, for illustrative purpose (Fig. 1A).

#### 3.4. Moderating effects of CR proxies on the relationship between AD-CM and cognition

For the association between AD-CM and CERAD-TS, education, pIQ, and the LCA score showed significant moderating effects, except for the OC (Table 3). For the 3 significant proxies, the higher

**Table 3**  
Moderating effect of each CR proxy on the relationship between AD-CM and cognition

Model term	B	SE	$\beta$	p
<b>Model 1</b>				
Education	1.04	0.14	0.32	<.001 <sup>b</sup>
AD-CM	38.84	4.37	0.36	<.001 <sup>b</sup>
Education $\times$ AD-CM	-2.23	1.00	-0.09	.026 <sup>a</sup>
<b>Model 2</b>				
pIQ	0.53	0.06	0.38	<.001 <sup>b</sup>
AD-CM	34.24	4.20	0.32	<.001 <sup>b</sup>
pIQ $\times$ AD-CM	-0.91	0.38	-0.10	.016 <sup>a</sup>
<b>Model 3</b>				
OC	1.85	0.52	0.17	<.001 <sup>b</sup>
AD-CM	38.75	4.66	0.36	<.001 <sup>b</sup>
OC $\times$ AD-CM	-2.77	3.25	-0.04	.40
<b>Model 4</b>				
LCA	5.52	0.94	0.25	<.001 <sup>b</sup>
AD-CM	36.92	4.48	0.35	<.001 <sup>b</sup>
LCA $\times$ AD-CM	-15.63	6.33	-0.10	.014 <sup>a</sup>

Note: Age, sex, and APOE4 carrier status were treated as covariates. B: unstandardized coefficient,  $\beta$ : standardized coefficient.

Key: CR, cognitive reserve; AD-CM, AD-signature region cerebral glucose metabolism; pIQ, premorbid Intelligence Quotient; OC, occupational complexity; LCA, lifetime cognitive activity; SE, standard error; APOE4, apolipoprotein E  $\epsilon$ 4.

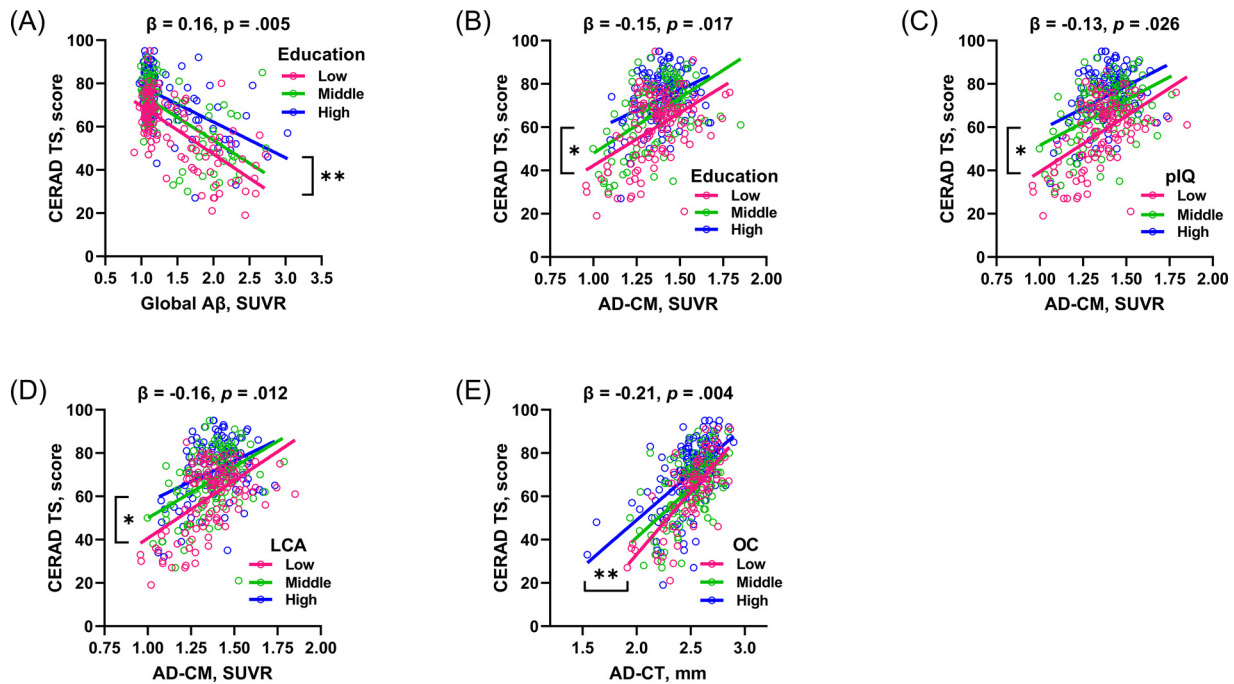
<sup>a</sup>  $p < 0.05$ .

<sup>b</sup>  $p < 0.001$ .

CR group showed shallower slope of decline of CERAD-TS associated with AD-CM compared with the lower CR group (Fig. 1B–1D).

#### 3.5. Moderating effects of CR proxies on the relationship between AD-CT and cognition

Of the four proxies, only OC showed significant moderating effect on the relationship between AD-CT and CERAD-TS (Table 4). The higher OC subgroup showed shallower slope of decline in CERAD-TS when AD-CT decreased compared to the lower OC subgroup (Fig. 1E).



**Fig. 1.** Visual representations showing the relationships between CR proxies, AD pathologies, and cognition. (A) Moderation of education for the relationship between  $A\beta$  deposition and CERAD TS. Moderation of (B) education, (C) pIQ, and (D) LCA for the relationship between AD-CM and CERAD-TS. (E) Moderation of OC for the relationship between AD-CT and CERAD-TS. Each of education, pIQ, and LCA was stratified into tertiles: Educational level was stratified into high ( $\geq 13$  years), middle (10–12 years), and low ( $\leq 9$ ) levels; pIQ was divided into high ( $> 120$ ), middle (115–120), and low ( $\leq 114$ ) levels; and LCA was stratified into high ( $> 2.6$ ), middle (2.0–2.6), and low ( $\leq 1.9$ ) levels. OC was stratified into high (3–4), middle (2), low (0–1) levels. Age, sex, and APOE  $\epsilon 4$  carrier status are controlled as covariates. \* $p < 0.05$ ; \*\* $p < 0.05$ ; \*\*\* $p < 0.001$ . Key: CERAD, Consortium to Establish a Registry for Alzheimer's Disease; CERAD TS, Total Score of CERAD neuropsychological assessment battery;  $A\beta$ , amyloid-beta; AD-CM, AD-signature region glucose metabolism; AD-CT, AD-signature region cortical thickness; pIQ, premorbid Intelligence Quotient; LCA, lifetime cognitive activity; OC, occupational complexity; APOE  $\epsilon 4$ , apolipoprotein E  $\epsilon 4$ .

**Table 4**  
Moderating effect of each CR proxy on the relationship between AD-CT and cognition

Model term	B	SE	$\beta$	p
<b>Model 1</b>				
Education	0.98	0.14	0.30	<.001 <sup>b</sup>
AD-CT	39.74	3.41	0.51	<.001 <sup>b</sup>
Education $\times$ AD-CT	-0.61	0.63	-0.04	.33
<b>Model 2</b>				
pIQ	0.55	0.05	0.40	<.001 <sup>b</sup>
AD-CT	37.27	3.24	0.48	<.001 <sup>b</sup>
pIQ $\times$ AD-CT	-0.18	0.24	-0.03	.45
<b>Model 3</b>				
OC	1.93	0.49	0.17	<.001 <sup>b</sup>
AD-CT	39.18	3.56	0.50	<.001 <sup>b</sup>
OC $\times$ AD-CT	-6.28	2.19	-0.11	.004 <sup>a</sup>
<b>Model 4</b>				
LCA	5.81	0.89	0.26	<.001 <sup>b</sup>
AD-CT	40.03	3.50	0.51	<.001 <sup>b</sup>
LCA $\times$ AD-CT	0.59	4.20	0.01	.89

Note: Age, sex, and APOE4 carrier status were treated as covariates. B: unstandardized coefficient,  $\beta$ : standardized coefficient. Key: CR, cognitive reserve; AD-CT, AD-signature region cortical thickness; pIQ, premorbid Intelligence Quotient; OC, occupational complexity; LCA, lifetime cognitive activity; SE, standard error; APOE4, apolipoprotein E  $\epsilon 4$ .

<sup>a</sup>  $p < 0.01$ .

<sup>b</sup>  $p < 0.001$ .

viduals, only LCA significantly moderated the relationship between AD-CT and cognition (Table S3).

#### 4. Discussion

We found that various CR proxies showed different moderating effects on the relationships between in vivo AD pathologies and cognition for overall participants. First, for the relationship of cerebral  $A\beta$  deposition with cognition, only education had a significant moderating effect. Secondly, education, pIQ, and LCA had significant moderating effects on the association between AD-CM and cognition. Thirdly, only OC had significant moderating effect on the relationship between AD-CT and cognition. Additional subgroup analyses showed that such moderation effects of the CR proxies were similarly observed in CI individuals, but most of them were not in CU individuals.

Our finding on the significant moderating effect of education on the association between  $A\beta$  deposition and cognitive decline is in line with the findings from 2 postmortem neuropathological studies (Bennett et al., 2005, 2003) and an amyloid PET study (Roe et al., 2008). However, for the other CR proxies, we did not find presence of moderating effect influencing the effect of  $A\beta$  on cognition. Similar to our result, a previous study with smaller sample (133 CN, 17 MCI, and 6 AD dementia) showed that pIQ did not moderate the relationship between global  $A\beta$  deposition on PET and cognition, whereas it moderated the association of tau deposition with cognition (Rentz et al., 2017). There are no prior reports on the moderating effects of OC and LCA on the relationship between  $A\beta$  pathology and cognitive decline.

Although it is not easy to explain why only education moderated the negative effect of  $A\beta$  pathology on cognition, a possible explanation is that education level is not only associated with

#### 3.6. Subgroup analyses for CU and CI

For CI individuals, the findings were similar with full sample except that the moderation effects of education, pIQ, and LCA on AD-CM to cognition were not significant (Table S2). For CU indi-

the level of cognitive activity (Landau et al., 2012; Wilson et al., 2007) but also with establishing healthy lifestyle (e.g., regular exercise, restricted caloric intake, avoiding harmful substance use, etc.) for maintaining brain health (Mirowsky and Ross, 2003), whereas pIQ, OC, and LCA may largely reflect intellectual ability, demand, or activity. Such difference between education and other CR proxies may be one of the reasons for the differential moderation of A $\beta$ -related cognitive decline. Other possibility is that formal education is mainly achieved during critical period of early-life brain development compared to other CR proxies reflecting long adulthood period as well as early-life. Early-life lifestyle enrichment is associated with better late-life cognition (Wilson et al., 2013) and increased cerebral glucose metabolism in AD-vulnerable regions (Ko et al., 2018). In addition, although not directly examined in the currently study, education may moderate the relationship between A $\beta$  and cognitive decline by delaying or lowering tau pathology, given that brain tau deposition is a key mediator of A $\beta$ -driven cognitive decline (Hanseeuw et al., 2019; Jack et al., 2018). A previous study reported that education was related to lower CSF t-tau concentrations at similar CSF A $\beta$ 42 levels (Rolstad et al., 2010). Another study also showed that education could mitigate age-related changes in CSF tau biomarkers (Almeida et al., 2015).

We observed significant moderating effect of education, pIQ, and LCA on the relationship between AD-CM and CERAD-TS. The findings for education and pIQ were consistent with previous reports that indicated individuals with higher education or pIQ can better tolerate the influence of hypometabolism on cognitive decline (Alexander et al., 1997; Ewers et al., 2013; Garibotto et al., 2008; Kempainen et al., 2008). The finding for LCA also suggests that participating in cognitive activity, as a modifiable lifestyle factor, may be beneficial to preserve cognition in late life by resilience to hypometabolism in AD-vulnerable regions. Previous literatures focused on the effect of cognitive activity on cognition (Gidicsin et al., 2015; Wilson et al., 2013) or AD pathologies (Gidicsin et al., 2015; Ko et al., 2018; Landau et al., 2012), but whether cognitive activity moderates the negative effect of AD pathology on cognition was unclear yet.

In contrast to other CR proxies, OC moderated the relationship between AD-CT and cognition, whereas it did not change the effect of AD-CM. The finding for the significant moderation of OC for the negative influence of cortical atrophy is in line with a prior report that showed greater OC is associated with increased brain atrophy in a cohort at risk for AD while holding cognition constant (Boots et al., 2015). However, the mechanisms by which OC moderate the effect of AD-CT on cognitive decline differentially from other proxies are not clear. Some explanation might be possible. OC may encapsulate interpersonal or social component as well as intellectual one (Boots et al., 2015; Smart et al., 2014), whereas other CR proxies are mainly related to intellectual abilities or activities. A previous study showed that when OC was broken down into components (i.e., complexity of work with people, complexity of work with data, and complexity of work with things), only the complexity of work with people had a significant impact on brain volume (Boots et al., 2015). Social interaction, which could be related to complexity of work with people is known to delay progression of AD (Fratiglioni et al., 2000; Zuelsdorff et al., 2013). In addition, previous studies demonstrated that occupation and participating in social activities exerted similar protective effects on cognition (Adam et al., 2013; Andel et al., 2015).

As suggested from previous reports (Pettigrew and Soldan, 2019; Soldan et al., 2017; Stern, 2012), the results from subgroup analyses revealed that the moderation effects of CR proxies were different between CU and CI group. While the findings from CI subgroup were similar to those from the whole group, we did not find significant result for most cases except the moderation of

LCA on the relationship between AD-CT and cognition. The results for CU subgroup were consistent with previous reports that there was no significant moderating effect of CR on the relationship between AD pathology and cognition in cognitively intact individuals (Rentz et al., 2017; Vemuri et al., 2015). As negative effect of AD pathology on cognition is probably subtle and variance of cognition itself is very small, it is not likely to detect easily the moderation effect of CR in CU status.

Our study has several strengths: First, we used 3 different neuroimaging modalities including amyloid PET, FDG-PET, and 3D MRI to analyze the abilities of CR proxies to moderate the influence by various in vivo AD pathologies. In addition, we included relatively large number of participants with diverse cognitive spectrum from CU, MCI to dementia. Moreover, we included only A $\beta$ -positive MCI and AD dementia individuals selected by using amyloid PET to investigate specifically the effect of AD pathologies on cognition moderated by candidate CR proxies considering that 29~63% of MCI and 7~21% of AD dementia patients do not actually have A $\beta$  deposition in brain (Jansen et al., 2015; Ossenkoppele et al., 2015).

Nevertheless, several limitations need to be mentioned. First, due to its cross-sectional design, moderation for the causal relationships of brain pathologies with cognitive decline could not be assessed precisely. Longitudinal follow-up studies are required to confirm the findings. Second, the cognitive activity questionnaire used to assess LCA was based on retrospective recall and might be influenced by recall bias related to cognitive impairment or depression. To minimize the recall bias, we excluded the individuals with major depressive disorder or advanced dementia (CDR > 1). Remote memory for early or midlife experience is usually maintained in mild AD dementia patients with CDR 0.5 or 1 (Morris, 1993). Third, we did not assess brain tau pathology, although it is one of the key AD pathologies. Further studies including tau PET or CSF tau measurement could provide additional insight into the differential moderation of CR proxies. Lastly, as the current study was conducted for exploratory purposes, we did not strictly control the possibility of increased type I error due to multiple tests. To confirm the findings, therefore, further studies with larger sample size and more strict threshold for statistical significance are needed.

## 5. Conclusions

In conclusion, the present findings suggest that the proposed CR proxies have different moderating effects on the relationships between specific AD pathologies and cognition. To investigate CR or related issues, it may be necessary to select proper CR proxies considering the target brain pathology. For A $\beta$  pathology, education seem the best CR proxy. Education, pIQ, and LCA are suitable for evaluating the moderating effect on the relationship of AD-related hypometabolism with cognition, while OC may be the best proxy for the influence of AD-related brain atrophy with cognition.

1. This material is original research, has not been previously published and has not been submitted for publication elsewhere while under consideration at *Neurobiology of Aging*.
2. The Methods section of this manuscript includes a statement that the Institutional Review Board of, Seoul National University Hospital and SNU-SMG Boramae Center in Seoul, South Korea approved the present study. The authors declare no conflict of interest. This original material has been approved, by each author.
3. All authors have reviewed the contents of the manuscript being submitted, approve of its contents and validate the accuracy of the data.

## Disclosure statement

The authors have no competing interest to declare.

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## Supplementary materials

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## CRedit authorship contribution statement

**Kang Ko:** Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Resources, Writing – original draft, Writing – review & editing, Visualization. **Dahyun Yi:** Investigation, Data curation, Resources. **Min Soo Byun:** Investigation, Data curation, Resources. **Jun Ho Lee:** Investigation, Resources. **So Yeon Jeon:** Investigation, Resources. **Woo Jin Kim:** Investigation, Resources. **Gihwan Byeon:** Investigation, Resources. **Younghwa Lee:** Investigation, Resources. **Haejung Joung:** Investigation, Resources. **Gijung Jung:** Investigation, Resources. **Jun-Young Lee:** Data curation, Project administration. **Heejeung Kim:** Data curation, Project administration. **Yu Kyeong Kim:** Data curation, Project administration. **Koung Mi Kang:** Investigation, Data curation, Resources. **Chul-Ho Sohn:** Data curation, Project administration. **Dong Young Lee:** Conceptualization, Methodology, Validation, Formal analysis, Writing – original draft, Writing – review & editing, Funding acquisition, Project administration, Supervision.

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